### **Computer Graphics**

#### 9 – Orientation & Rotation

Yoonsang Lee Spring 2019

### What we've done so far

- Lecture 3 5 (Transformation)
- : Movement & placement
- Lecture 5 6 (Vertex Processing)
- : Mapping to 2D screen
- Lecture 7 8 (Mesh, Lighting & Shading)
- : Appearance
- Lecture 9 10 (Orientation & Rotation, Animation)
- : Movement & placement

# **Topics Covered**

- Orientation vs. Rotation
- Degrees of freedom
- 2D orientation & rotation representations
  - Using 1D angle
  - Rotation matrices (2x2)
- 3D orientation & rotation representations
  - Euler angles
  - Axis-angle (Rotation vector)
  - Rotation matrices
  - Unit quaternions

# Orientation vs. Rotation, Degrees of freedom

# Orientation vs. Rotation

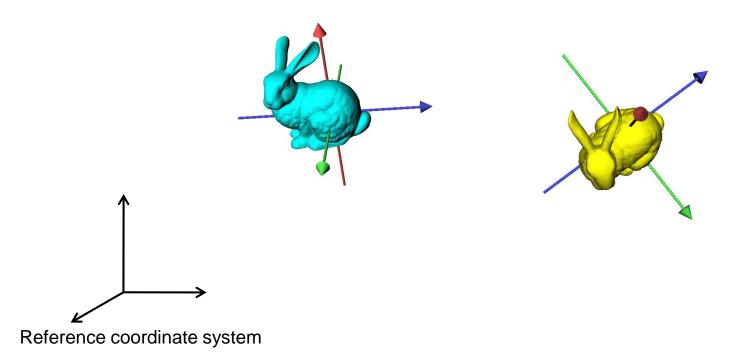
#### Rotation

Circular movement

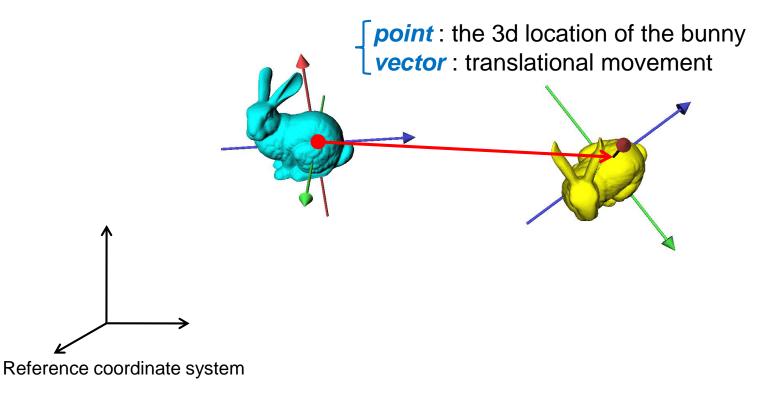
#### Orientation

- The state of being oriented
- Given a coordinate system, the orientation of an object can be represented as a rotation from a reference pose

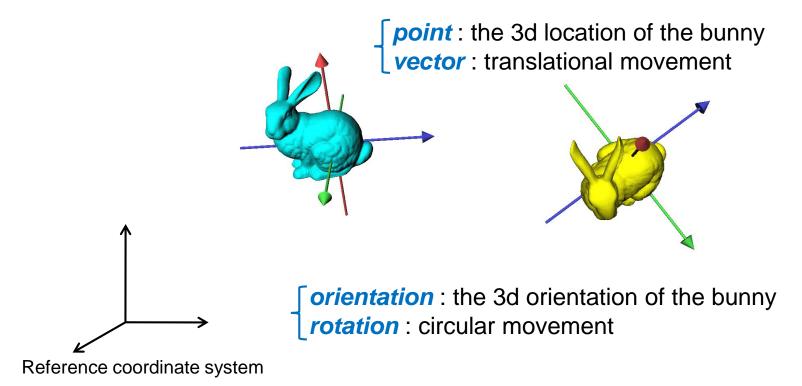
(point : vector) is similar to (orientation : rotation) Both represent a sort of (state : movement)



#### (point : vector) is similar to (orientation : rotation) Both represent a sort of (state : movement)



#### (point : vector) is similar to (orientation : rotation) Both represent a sort of (state : movement)



- Point & vector
  - (point) + (point)  $\rightarrow$  (UNDEFINED)
  - (vector)  $\pm$  (vector)  $\rightarrow$  (vector)
  - (point)  $\pm$  (vector)  $\rightarrow$  (point)
  - (point) (point)  $\rightarrow$  (vector)
- Orientation & rotation
  - (orientation) (+) (orientation)  $\rightarrow$  (UNDEFINED)
  - (rotation) ( $\pm$ ) (rotation)  $\rightarrow$  (rotation)
  - (orientation) ( $\pm$ ) (rotation)  $\rightarrow$  (orientation)
  - (orientation) (-) (orientation)  $\rightarrow$  (rotation)

Not vector addition & subtraction

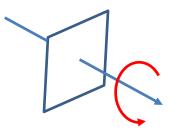
# **Degrees of Freedom (DOF)**

• The number of **independent parameters** that define **a unique configuration** 



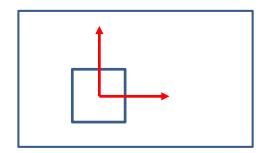
Translation along one direction

: 1 DOF



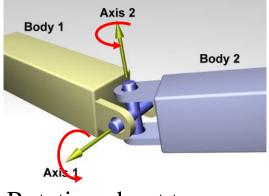
Rotation about an axis

: 1 DOF



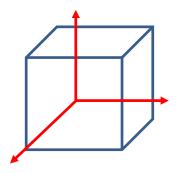
Translation on a plane

: 2 DOF



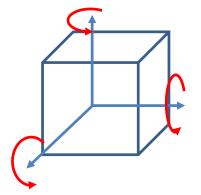
Rotation about two axes

: 2 DOF

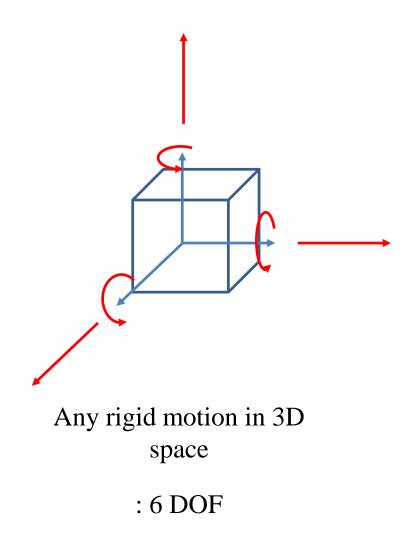


Translation in 3D space

: 3 DOF



Rotation in 3D space : 3 DOF

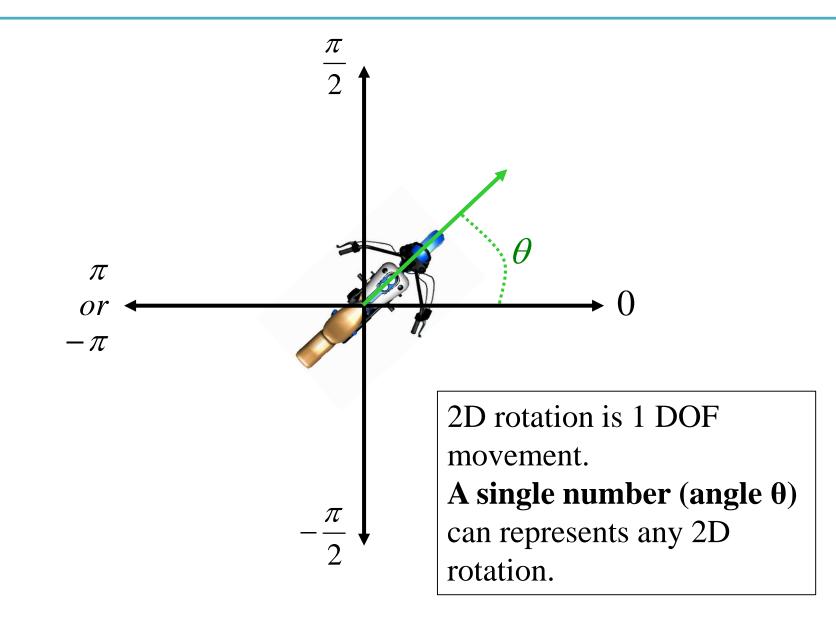


# Quiz #1

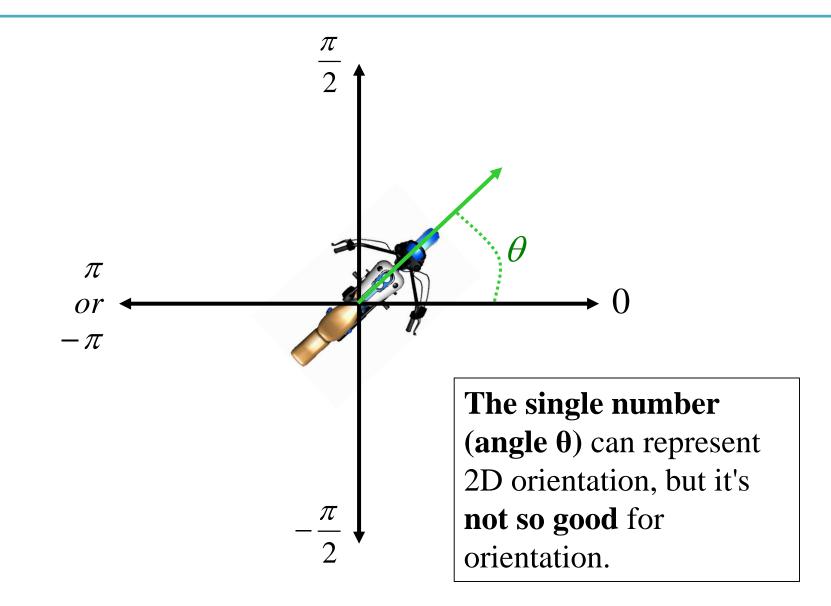
- Go to <u>https://www.slido.com/</u>
- Join #cg-hyu
- Click "Polls"
- Submit your answer in the following format:
  - Student ID: Your answer
  - e.g. 2017123456: 4)
- Note that you must submit all quiz answers in the above format to be checked for "attendance".

# 2D & 3D orientation & rotation representations

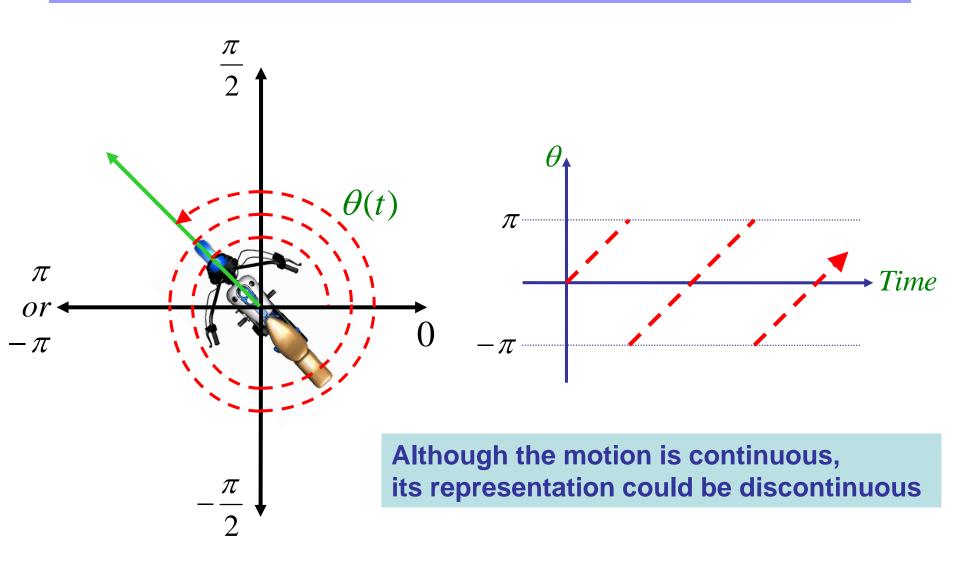
### **2D Rotation**



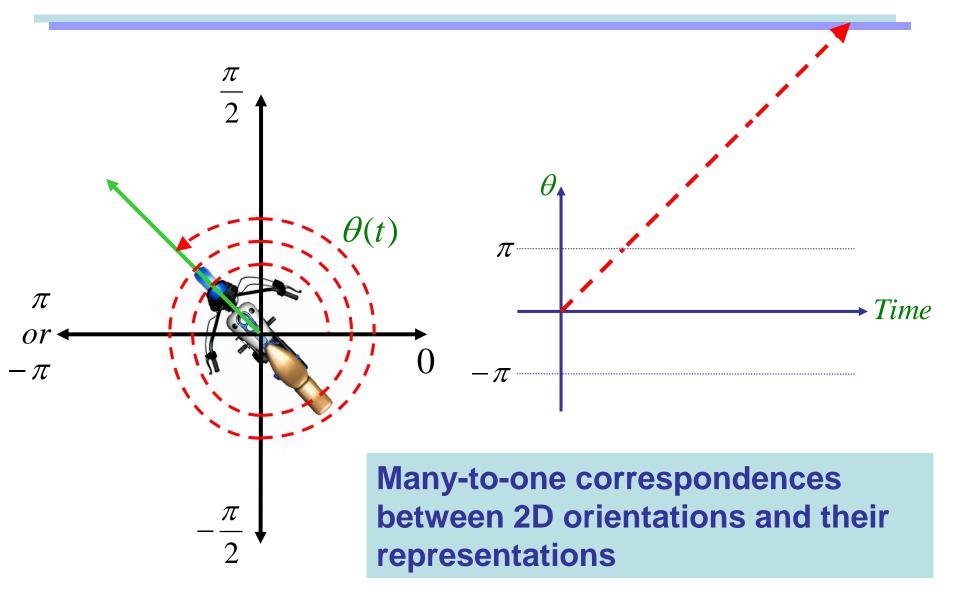
### **2D** Orientation



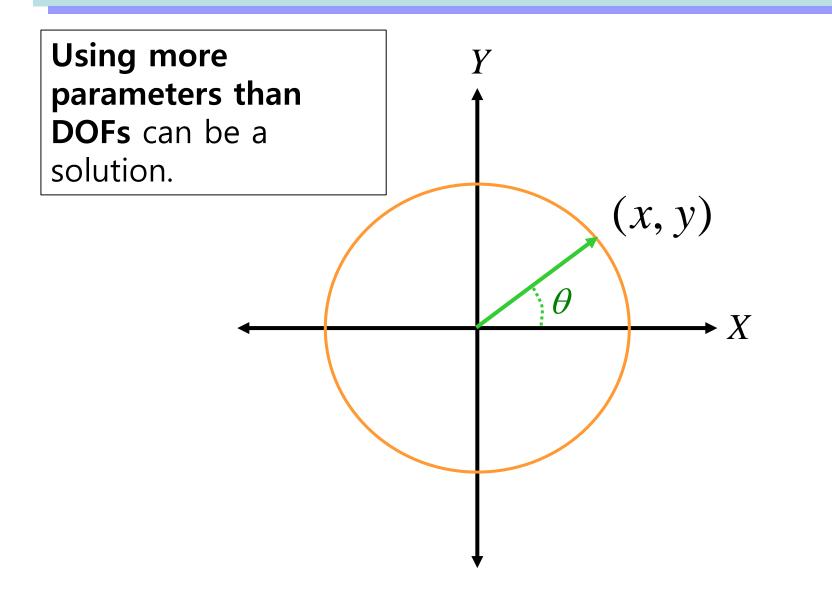
# **2D** Orientation



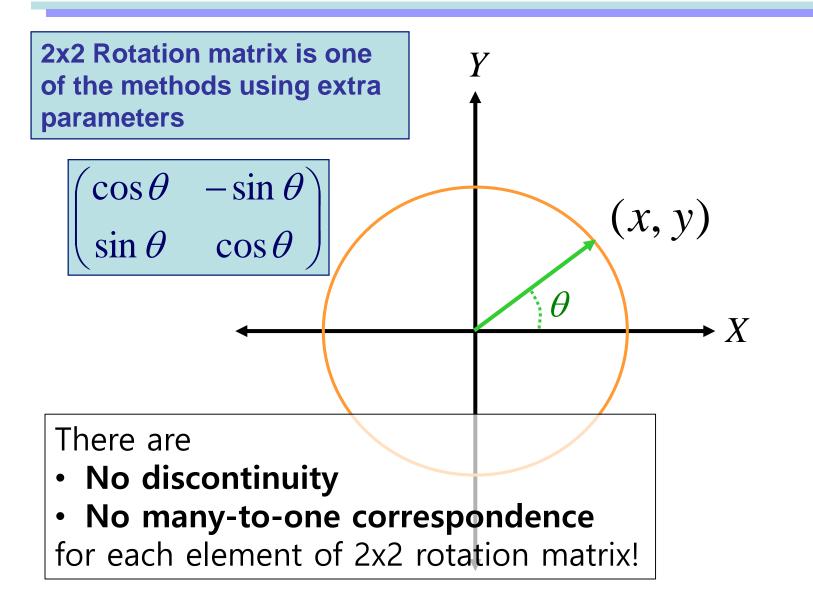
# **2D** Orientation



# **Extra Parameter**



# Extra Parameter



# 2D Rotation and Orientation

#### 2D Rotation

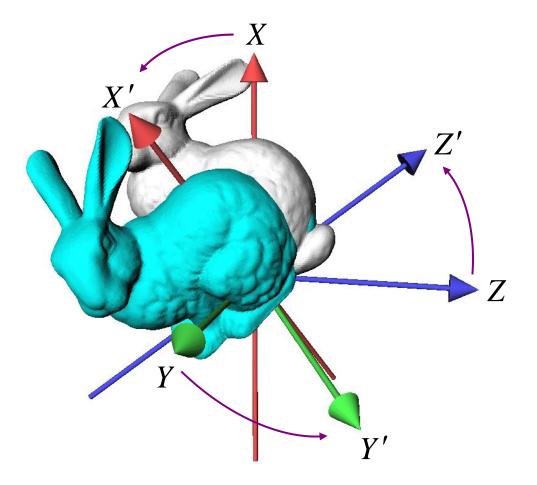
 The consequence of any 2D rotational movement can be uniquely represented by a turning angle

#### • 2D Orientation

- The non-singular parameterization of **2D orientations** requires extra parameters
  - E.g.) 2x2 rotation matrices

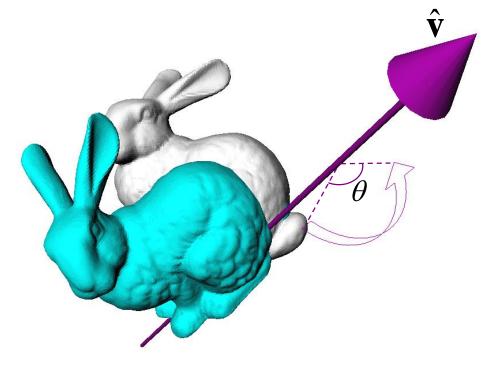
# **3D** Rotation

• Given two arbitrary orientations of a rigid object,



# **3D** Rotation

• We can always find a fixed axis of rotation and an angle about the axis



# **Euler's Rotation Theorem**

# The general displacement of a rigid body with one point fixed is a rotation about some axis

Leonhard Euler (1707-1783)

#### In other words,

- Arbitrary 3D rotation equals to one rotation around an axis
- Any 3D rotation leaves one vector unchanged

# **Describing 3D Rotation & Orientation**

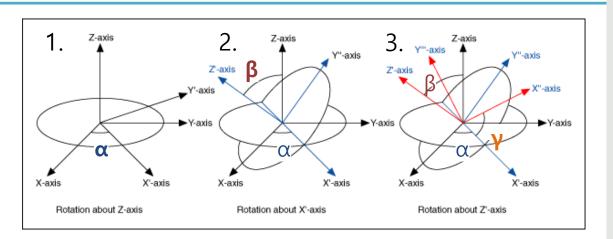
• Describing 3D rotation & orientation is more complicated than 2D.

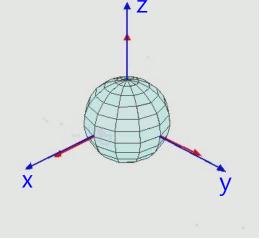
- Many ways to do it
  - Euler angles
  - Axis-angle (Rotation vector)
  - Rotation matrices
  - Unit quaternions

## **Euler Angles**

- Express any arbitrary 3D rotation using **three rotation angles about three principle axes** 
  - x, y, z axes

# **Example: ZXZ Euler Angles**





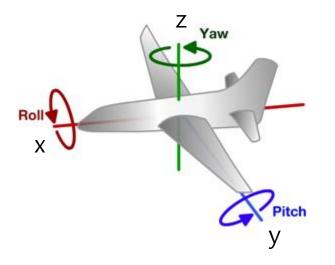
• 1. Rotate about Z-axis by  $\alpha$ 

https://commons.wikimedia.org/w iki/File:Euler2a.gif

- 2. Rotate about X-axis of the new frame by  $\beta$
- 3. Rotate about Z-axis of the new frame by  $\gamma$

$$\mathsf{R} = \begin{bmatrix} \cos \alpha & -\sin \alpha & 0\\ \sin \alpha & \cos \alpha & 0\\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0\\ 0 & \cos \beta & -\sin \beta\\ 0 & \sin \beta & \cos \beta \end{bmatrix} \begin{bmatrix} \cos \gamma & -\sin \gamma & 0\\ \sin \gamma & \cos \gamma & 0\\ 0 & 0 & 1 \end{bmatrix}$$
$$\mathsf{R} = \mathsf{R}_{\mathsf{Z}}(\alpha) \qquad \mathsf{R}_{\mathsf{X}}(\beta) \qquad \mathsf{R}_{\mathsf{Z}}(\gamma)$$

### **Example: Yaw-Pitch-Roll Convention** (**ZYX Euler Angles**)



- Common for describing the orientation of aircrafts
- 1. Rotate about Z-axis by yaw angle
- 2. Rotate about Y-axis of the new frame by pitch angle
- 3. Rotate about X-axis of the new frame by roll angle

 $R = R_z(yaw) R_y(pitch) R_x(roll)$ 

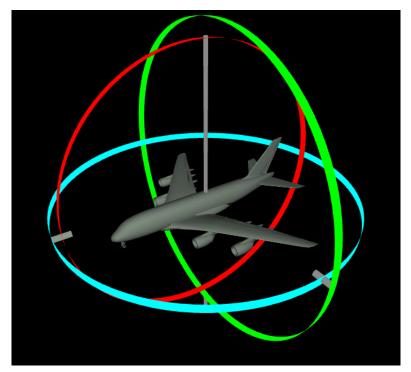
# **Recall: Rotation Matrix in 3D**

#### View looking down -x axis: **Rotation about x axis:** $\mathbf{R}_{x,\theta} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta \\ 0 & \sin\theta & \cos\theta \end{bmatrix}$ x coordinate is unchanged by **Rotation about y axis:** rotation about x View looking down -y axis: $\mathbf{R}_{y,\theta} = \begin{bmatrix} \cos\theta & 0 & \sin\theta \\ 0 & 1 & 0 \\ \hline \neg \sin\theta & 0 & \cos\theta \end{bmatrix}$ Ζ. Rotation about z axis: $\mathbf{R}_{z,\theta} = \begin{bmatrix} \cos\theta & -\sin\theta & 0\\ \sin\theta & \cos\theta & 0\\ 0 & 0 & 1 \end{bmatrix}$ ►x z coordinate is unchanged by rotation about z

### **Euler Angles**

- Possible 12 combinations
  - XYZ, XYX, XZY, XZX
  - YZX, YZY, YXZ, YXY
  - ZXY, ZXZ, ZYX, ZYZ

### [Practice] Euler Angles Online Demo

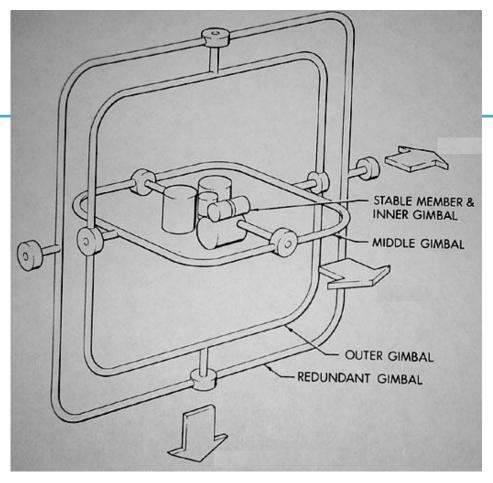


http://www.ctralie.com/Teaching/COMPS CI290/Materials/EulerAnglesViz/

• Try to change yaw, pitch, roll angles

# Gimbal

- Hardware implementation of Euler angles
- Used in
  - Camera systems: to stabilize the camera movement
  - Inertial navigation systems (INS): to get the current orientation of aircrafts or ships

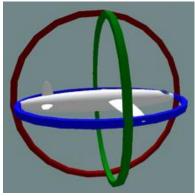




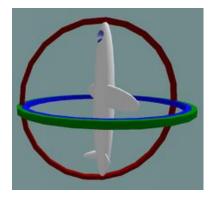


# Gimbal Lock

- One potential problem that Euler angles can suffer from is 'gimbal lock'
- This results when two axes effectively line up, resulting in a temporary loss of a degree of freedom



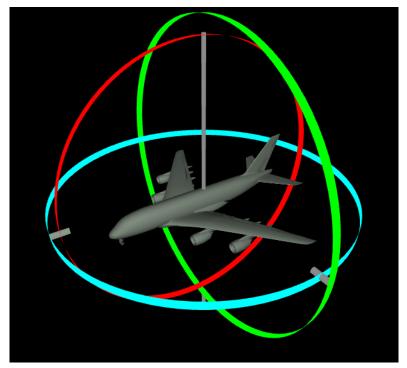
Normal situation. The plane can rotate in any directions



Gimbal lock: two out of the three gimbals are in the same plane, one DoF is lost

Euler angles have singularities, i.e., it loses DoFs (can't move in a certain direction) at some configurations
 GSCT, KAIST 33

### [Practice] Gimbal Lock



http://www.ctralie.com/Teaching/COMPS Cl290/Materials/EulerAnglesViz/

- Make gimbal lock by aligning two of three rotation axes
  - Set pitch to 90 degrees

# [Practice] Euler Angles in OpenGL

• Start with the practice code from the previous lecture (8-Lighting&Shading).

• Just replace render() function

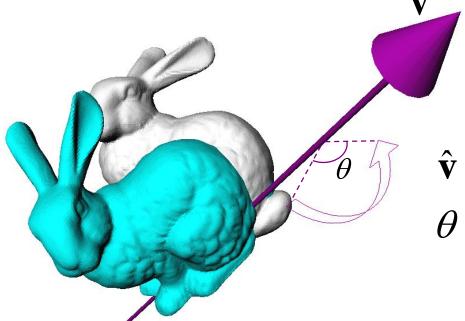
```
def render():
                                               # ZYX Euler angles
                                               t = qlfw.get time()
    global gCamAng, gCamHeight
                                               xang = t
                                               yang = np.radians(30)
glClear (GL COLOR BUFFER BIT | GL DEPTH BUFFER
BIT)
                                               zang = np.radians(30)
                                               M = np.identity(4)
                                               Rx = np.array([[1,0,0]],
    glEnable (GL DEPTH TEST)
                                                               [0, np.cos(xang), -np.sin(xang)],
                                                               [0, np.sin(xang), np.cos(xang)]])
    glMatrixMode (GL PROJECTION)
    glLoadIdentity()
                                               Ry = np.array([[np.cos(yang), 0, np.sin(yang)],
    gluPerspective(45, 1, 1, 10)
                                                              [0,1,0],
                                                               [-np.sin(yang), 0, np.cos(yang)])
                                               Rz = np.array([[np.cos(zang), -np.sin(zang), 0])
    glMatrixMode (GL MODELVIEW)
    glLoadIdentity()
                                                               [np.sin(zang), np.cos(zang), 0],
                                                               [0, 0, 1]])
                                               M[:3,:3] = Rz @ Ry @ Rx
gluLookAt(5*np.sin(gCamAng),gCamHeight,5*np
.cos(gCamAng), 0,0,0, 0,1,0)
                                               glMultMatrixf(M.T)
    # draw global frame
                                               # # The same ZYX Euler angles with OpenGL functions
    drawFrame()
                                               # glRotate(30, 0,0,1)
                                               # glRotate(30, 0,1,0)
                                               # glRotate(np.degrees(xang), 1,0,0)
    glEnable(GL LIGHTING)
    glEnable(GL LIGHT0)
    glEnable(GL RESCALE NORMAL)
                                               glScalef(.25,.25,.25)
    # set light properties
                                               # draw cubes
    lightPos = (4., 5., 6., 1.)
                                               glMaterialfv(GL FRONT, GL AMBIENT AND DIFFUSE, (.5,.5,.5,1.))
                                               drawCube glDrawArray()
    glLightfv(GL LIGHT0, GL POSITION,
lightPos)
                                               qlTranslatef(2.5,0,0)
                                               glMaterialfv(GL FRONT, GL AMBIENT AND DIFFUSE, (1.,0.,0.,1.))
    ambientLightColor = (.1, .1, .1, .1, .1)
                                               drawCube glDrawArray()
    diffuseLightColor = (1., 1., 1., 1.)
    specularLightColor = (1., 1., 1., 1.)
    glLightfv(GL LIGHT0, GL AMBIENT,
                                               qlTranslatef(-2.5, 2.5, 0)
ambientLightColor)
                                               glMaterialfv(GL FRONT, GL AMBIENT AND DIFFUSE, (0.,1.,0.,1.))
                                               drawCube glDrawArray()
    glLightfv(GL LIGHTO, GL DIFFUSE,
diffuseLightColor)
    glLightfv(GL LIGHT0, GL SPECULAR,
                                               glTranslatef (0, -2.5, 2.5)
specularLightColor)
                                               glMaterialfv(GL FRONT, GL AMBIENT AND DIFFUSE, (0.,0.,1.,1.))
                                               drawCube glDrawArray()
```

glDisable(GL LIGHTING)

## Quiz #2

- Go to <u>https://www.slido.com/</u>
- Join #cg-hyu
- Click "Polls"
- Submit your answer in the following format:
  - Student ID: Your answer
  - e.g. 2017123456: 4)
- Note that you must submit all quiz answers in the above format to be checked for "attendance".

#### **Axis-Angle (Rotation Vector)**



 $\hat{\mathbf{v}}$ : rotation axis (unit vector)  $\theta$ : scalar angle

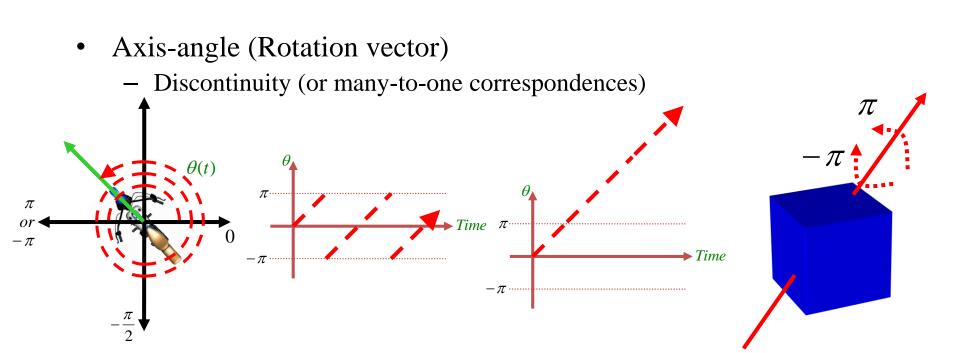
• Rotation vector (3 parameters)

$$\mathbf{v} = \boldsymbol{\theta} \ \hat{\mathbf{v}} = (x, y, z)$$

• Axis-Angle (1+2 parameters)  $(\theta, \hat{\mathbf{v}})$ 

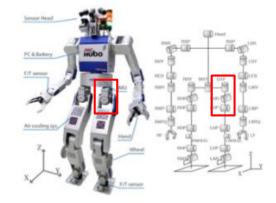
# **3D Orientation**

- Euler angles and axis-angle use 3 parameters.
- Expressing 3D orientation using 3 parameters has problems:
- Euler angles
  - Discontinuity (or many-to-one correspondences)
  - Gimbal lock



# **3D Orientation**

- To avoid these problems, we need more parameters than DOFs
  - Rotation matrices
  - Unit quaternions
- But Euler angles is still meaningful because
  - It's the most common way to implement actuated 3 DOF rotational joints in real world.
  - No need to "normalize" the numbers.



### **Rotation Matrices**

• Rotation in 3D space can be represented as 3x3 matrix:

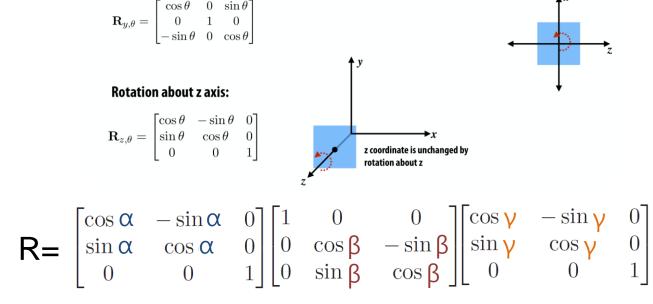
Rotation about x axis:

**Rotation about y axis:** 

 $\mathbf{R}_{x,\theta} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta \\ 0 & \sin\theta & \cos\theta \end{bmatrix}$ 

Rotation matrix about x, y, z axis

Rotation matrix from ZXZ Euler angles



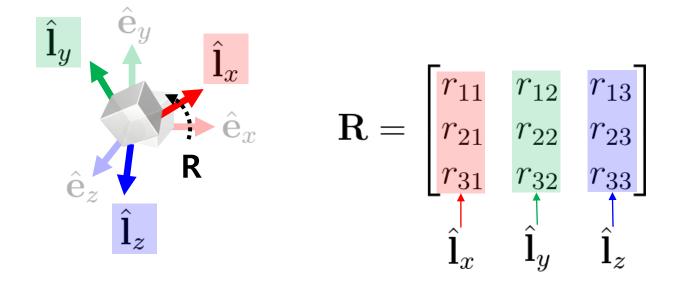
x coordinate is unchanged by

rotation about x

View looking down -x axis:

View looking down -y axis:

### **Meaning of Rotation Matrix**



- A rotation matrix defines
  - Orientation of new rotated frame or,
  - Rotation from a global frame to be that rotated frame

#### **Mathematical Properties of Rotation Matrix**

1. 
$$\mathbf{R}\mathbf{R}^T = \mathbf{R}^T\mathbf{R} = \mathbf{I}$$

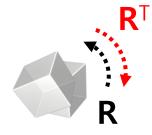
**2.** 
$$det(\mathbf{R}) = 1$$

• For details, see 9-reference-rotmat-properties.pdf

- A rotation matrix is an **orthogonal matrix with determinant 1** 
  - Sometimes it is called *special orthogonal matrix*
  - A set of rotation matrices of size 3 forms a *special* orthogonal group, SO(3)

#### **Geometric Properties of Rotation Matrix**

- $\mathbf{R}^{\mathrm{T}}$  is an inverse rotation of  $\mathbf{R}$ 
  - Because,  $\mathbf{R}\mathbf{R}^T = \mathbf{I} \iff \mathbf{R}^{-1} = \mathbf{R}^T$



- $\mathbf{R}_1 \mathbf{R}_2$  is a rotation matrix as well (composite rotation) - proof)  $(\mathbf{R}_1 \mathbf{R}_2)^T (\mathbf{R}_1 \mathbf{R}_2) = \mathbf{R}_2^T \mathbf{R}_1^T \mathbf{R}_1 \mathbf{R}_2 = \mathbf{R}_2^T \mathbf{R}_2 = \mathbf{I}$ and  $\det(\mathbf{R}_1 \mathbf{R}_2) = \det(\mathbf{R}_1) \cdot \det(\mathbf{R}_2) = 1$
- The length of vector **v** is not changed after applying a rotation matrix **R**

- proof) 
$$\|\mathbf{R}\mathbf{v}\|^2 = (\mathbf{R}\mathbf{v})^T (\mathbf{R}\mathbf{v}) = \mathbf{v}^T \mathbf{R}^T \mathbf{R}\mathbf{v} = \mathbf{v}^T \mathbf{v} = \|\mathbf{v}\|^2$$

#### [Practice] Properties of Rotation Matrix

• Start with the previous practice code

• Just replace render() function

```
def render():
    global gCamAng, gCamHeight
    glClear (GL COLOR BUFFER BIT | GL DEPTH BUFFER BIT)
    glEnable (GL DEPTH TEST)
    glMatrixMode (GL PROJECTION)
    glLoadIdentity()
    gluPerspective (45, 1, 1, 10)
    glMatrixMode (GL MODELVIEW)
    glLoadIdentity()
gluLookAt(5*np.sin(gCamAng),gCamHeight,5*np.cos(gCamAng),
0, 0, 0, 0, 1, 0
    drawFrame() # draw global frame
    glEnable (GL LIGHTING)
    glEnable(GL LIGHT0)
    glEnable(GL RESCALE NORMAL) # rescale normal
    glLightfv(GL LIGHT0, GL POSITION, (1.,2.,3.,1.))
    glLightfv(GL LIGHTO, GL AMBIENT, (.1,.1,.1,))
    glLightfv(GL LIGHT0, GL DIFFUSE, (1.,1.,1.,))
    glLightfv(GL LIGHT0, GL SPECULAR, (1.,1.,1.,))
    # ZYX Euler angles
    t = qlfw.get time()
    xanq = t
    yang = np.radians(30)
    zang = np.radians(30)
   M = np.identity(4)
    Rx = np.array([[1,0,0]],
                   [0, np.cos(xang), -np.sin(xang)],
                   [0, np.sin(xang), np.cos(xang)]])
    Ry = np.array([[np.cos(yang), 0, np.sin(yang)],
                   [0,1,0],
                   [-np.sin(yang), 0, np.cos(yang)]])
    Rz = np.array([[np.cos(zang), -np.sin(zang), 0],
                   [np.sin(zang), np.cos(zang), 0],
                   [0, 0, 1]])
```

R = Rz @ Ry @ Rx# # check inverse rotation # R = Rz @ Ry @ Rx.T# # check R @ R.T # print(R @ R.T) # # check determinant # print(np.linalg.det(R)) M[:3,:3] = RglMultMatrixf(M.T) glScalef(.25,.25,.25) # draw cubes glMaterialfv(GL FRONT, GL AMBIENT AND DIFFUSE, (.5,.5,.5,1.)) drawCube glDrawArray() glTranslatef(2.5,0,0)glMaterialfv(GL FRONT, GL AMBIENT AND DIFFUSE, (1.,0.,0.,1.)) drawCube glDrawArray() glTranslatef(-2.5, 2.5, 0)glMaterialfv(GL FRONT, GL AMBIENT AND DIFFUSE, (0.,1.,0.,1.)) drawCube glDrawArray() qlTranslatef(0, -2.5, 2.5)

glMaterialfv(GL\_FRONT, GL\_AMBIENT\_AND\_DIFFUSE, (0.,0.,1.,1.)) drawCube\_glDrawArray()

glDisable(GL LIGHTING)

#### **Rotation Matrix for Rotation about an Arbitrary Axis**

- Recall Euler's Rotation Theorem:
  - Arbitrary 3D rotation equals to one rotation around an axis
  - How to compute the rotation matrix for given axis vector  $u=(u_x,u_y,u_z)$  by angle  $\theta$ ?
- A naive, inefficient method:
  - Step 1: rotate the axis u so that it is aligned with the Z-axis
  - Step 2: rotate about the Z-axis by the angle  $\theta$
  - Step 3: rotate the Z-axis back to the original axis
  - For details, see 9-reference-naive-rotvec2rotmat.pdf

#### **Rotation Matrix for Rotation about an Arbitrary Axis**

• More efficient solution: Rodrigues' rotation formula

• Rotation about a normalized axis vector  $u=(u_x,u_y,u_z)$  by angle  $\theta$ :

$$R = \begin{bmatrix} \cos\theta + u_x^2 \left(1 - \cos\theta\right) & u_x u_y \left(1 - \cos\theta\right) - u_z \sin\theta & u_x u_z \left(1 - \cos\theta\right) + u_y \sin\theta \\ u_y u_x \left(1 - \cos\theta\right) + u_z \sin\theta & \cos\theta + u_y^2 \left(1 - \cos\theta\right) & u_y u_z \left(1 - \cos\theta\right) - u_x \sin\theta \\ u_z u_x \left(1 - \cos\theta\right) - u_y \sin\theta & u_z u_y \left(1 - \cos\theta\right) + u_x \sin\theta & \cos\theta + u_z^2 \left(1 - \cos\theta\right) \end{bmatrix}$$

(You do not have to memorize this)

## Quiz #3

- Go to <u>https://www.slido.com/</u>
- Join #cg-hyu
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- Submit your answer in the following format:
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- Note that you must submit all quiz answers in the above format to be checked for "attendance".

### Quaternions

• Complex numbers can be used to represent 2D rotations  $\sum_{y=x+iy}^{Im} z=x+iy$ 

$$z = x + iy$$
 where  $i^2 = -1$ 

• Basic idea: Quaternion is its extension to 3D space

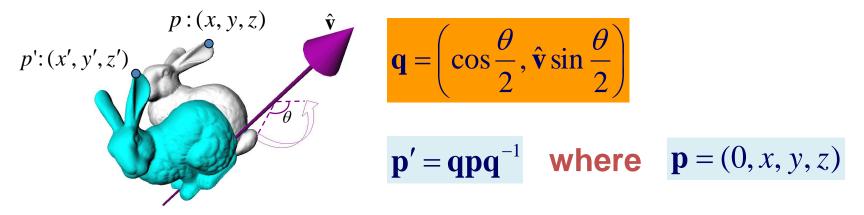
q = w + ix + jy + kz where  $i^2 = j^2 = k^2 = ijk = -1$  $ij = k, \quad jk = i, \quad ki = j$  $ji = -k, \, kj = -i, \, ik = -j$ 

## **Unit Quaternions**

• Unit quaternions represent 3D rotations

$$q = w + ix + jy + kz = (w, x, y, z) = (w, v) w2 + x2 + y2 + z2 = 1$$

• Rotation about axis  $\hat{\mathbf{v}}$  by angle  $\boldsymbol{\theta}$ 



# **Unit Quaternions**

• For details, see 9-reference-quaternions.pdf

- Antipodal equivalence
  - q and –q represent the same rotation
  - 2-to-1 mapping: Each individual rotation is represented by **two** quaternions

# Which Representation to Use?

- 3D orientation & rotation representation
  - Euler angles
  - Axis-angle (Rotation vector)
  - Rotation matrices
  - Unit quaternions
- Which one to use?
- General recommendation: **rotation matrices** or **unit quaternions**.
- But you may need other representations depending on the context.
  - Euler angles are useful for hardware implementation of ball joints.

## Which Representation to Use?

• Reason: Euler angles and axis-angle have problems

- Euler angles
  - Discontinuity (or many-to-one correspondences)
  - Gimbal lock

- Axis-angle (Rotation vector)
  - Discontinuity (or many-to-one correspondences)

# Which Representation to Use?

- Rotation matrices and unit quaternions do not have discontinuity or gimbal lock problems
  - Because they use more parameters (rotation matrix: 9, unit quaternion: 4) than DOFs of 3D orientation/rotation (3)

• Rotation matrices vs. Unit quaternions ?

# Rotation Matrix vs. Unit Quaternion

- Equivalent in many aspects
  - Redundant
  - No singularity
  - Can be converted from & to axis-angle representation
- Why quaternions ?
  - Fewer parameters
  - Simpler algebra
  - Easy to fix numerical error
- Why rotation matrices ?
  - One-to-one correspondence
  - Handle rotation and translation in a uniform way
    - Eg) 4x4 homogeneous matrices

# **Conversion Between Representations**

- Axis-angle → Rotation matrix
  - Rodrigues' rotation formula, ...
- Rotation matrix  $\rightarrow$  Axis-angle
  - Several ways, we'll see one of them in next lecture.

#### • Euler angles $\rightarrow$ Rotation matrix

- Building canonical rotation matrices  $(\mathbf{R}_x, \mathbf{R}_y, \mathbf{R}_z)$  and composing them

#### • Rotation matrix $\rightarrow$ Euler angles

- Several ways, but not covered in this class

#### • Unit quaternion ↔ Rotation matrix

- Several ways, but not covered in this class

### Next Time

- Lab in this week:
  Lab assignment 9
- Next lecture:
  - 10 Animation

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